

Annex 1

Scope of CMFAS Module 6A Examination – Securities & Futures Product Knowledge

Chapter 1 – Overview of Securities and Futures

FUNDAMENTALS OF MARKET ANALYSIS

Chapter 2 – The Framework For Making Investment Decisions

Chapter 3 - Fundamental Analysis

Chapter 4– Technical and Statistical Analysis

BASIC DERIVATIVES PRODUCTS

Chapter 5 – Futures

Chapter 6 – Strategies for Futures Markets

Chapter 7 – Trading Risk Control and Management

Chapter 8 – Options

Chapter 9 – Warrants and Other Investment Products

STRUCTURED PRODUCTS

Chapter 10 - Basics of Structured Products

Chapter 11 – Key Risks

Chapter 12 – Structured Notes

Chapter 13 – Structured Funds and Structured Exchange Traded Funds

Chapter 14 – Comparison of Different Types of Structured Products

OTHER FINANCIAL DERIVATIVES

Chapter 15 – Introduction to Other Financial Derivatives

Chapter 16 – Knock-Out Products

Chapter 17 – Contracts for Differences (CFDs)

Chapter 18 – Extended Settlement (ES) Contracts

CASE STUDIES

Chapter 19 – Case Studies on Structured Notes, Structured Funds and Knock-Out Products