

CMFAS Module 2A – Rules and Regulations for Trading in Futures Contracts (SGX-DT members)

Summary of Updates

(Oct 2013 – Version 2.1)

The updates / amendments made to the Study Guide for CMFAS Module 2A – Rules and Regulations for Trading in Futures Contracts (SGX-DT members) (2st version – July 2013) are summarized as follows:

**Additions / updates / corrections are indicated in blue italics.*

Deletions are indicated in strikethrough.

Chapter	Page No.	Update / Amendment
Review Questions		
Question 9	Pg 117	9. Which of the following is NOT considered a risk of trading ES contracts: a. <i>Counterparty risk</i> b. Leveraging c. Losses from margin calls d. Stock market volatility
Question 10	Pg 117	10. The document retention policy under the MAS Notice on Prevention of Money Laundering allows for documents to be retained in the following manner: i. Original or copies of original documents. ii. Microfilm. iii. Electronic form a. i & ii b. i & iii c. i only d. <i>i, ii & iii</i>
Answers to Review Questions	Pg 118	<u>ANSWERS TO REVIEW QUESTIONS</u> 9. a – Chapter 6, Section 6.2 (Risks of Trading ES) 10. a <i>d</i> – Chapter 7, Section 7.8 (Record Keeping [Paragraph of the Notice])